BRIGHT IDEAS

Getting with the Program to Beef Up Cybersecurity

Gavin Stern

Increasingly, medical devices, including bedside patient monitors, infusion pumps, ventilators, and imaging systems, are being connected to and reliant on the hospital network. That connec-

Information Technology (IT) departments determined that clinical and technical staff would need to compile data describing the risk, impact, and safety of patient care for all

of that network-connected

**At a Glance**

**SUBJECT**

Intermountain Healthcare

**LOCATION**

Integrated delivery network spanning Utah, Southern Idaho, and Northern Nevada

tivity brings the risk of intrusion by cybercrimi- nals, who could steal electronic patient health information (PHI), install malware, alter treatment delivered by the equipment, or render the equipment unusable.

In July 2014, the Clinical Engineering

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medical equipment.

“We needed to compre- hensively understand what medical equipment we had throughout our system that stored, displayed, or transmitted any type of electronic PHI,” said Mike Bus- dicker, a system director for CESS at Intermoun- tain Healthcare. “We want

**SIZE**

23 hospitals and more than 185 clinics

**STAFF**

Four from Clinical Engineering Support Services, one from Cybersecurity Architecture, and two from Application Development

Support Services (CESS) department of

Intermountain Healthcare determined through an internal audit that, like many large hospital systems, a sizable portion of its medical equipment was at risk of cyberattack. With an integrated delivery network (IDN) spanning 23 hospitals and more than 285 clinics throughout Utah, southern Idaho, and northern Nevada, Intermountain poses a tempting target for those looking to steal sensitive data.

The professionals charged with defending Intermountain’s network faced a monumen- tal task. CESS supports approximately 101,000 pieces of medical equipment, with more than 15% connected to the hospital network. Leadership from the CESS and the

patients to feel as comfortable as possible

knowing that we’ve got their safety in mind first, that they receive the best care and treatment possible. Ensuring that their personal health information is protected is a big part of that.”

But with so many devices to evaluate, the first and perhaps biggest step was determin- ing which ones posed the greatest risk—and ultimately, where to allocate limited resources. To defend patients from cyberattacks, the IT and CESS departments at Intermountain would need to develop a plan—and a pro- gram—to systematically review

network-connected medical equipment. “Patient safety and providing extraordinary

patient care is our top priority at Intermoun-

tain Healthcare,” said Priyanka Upendra, compliance manager for CESS. Staying compliant with the HIPAA Security Rule and addressing all socio-economic aspects to protect electronic PHI kicked off this effort. Industry standards supplement our risk assessment and remediation efforts.”

# **Challenge**

Intermountain’s CESS team was concerned with two issues: directly protecting patient safety from hackers and safeguarding their sensitive data.

“The biggest issue on our mind right now is—how do we make sure that medical equip- ment providing direct patient care doesn’t cause harm to the patient in case of a cyberattack?” said Shawn Anderson, informa- tion systems security analyst with Intermountain’s IT Cybersecurity Architec- ture team. “Then, we scale back on the risk. If it’s not going to affect patient safety, what are the biggest risks? That could be using the equipment to access the rest of our network.”

To ensure that Intermountain maintained compliance with federal regulations, staff needed to pore through Intermountain’ s large equipment inventory to evaluate how patient data are used and assess their vulnerability to cyberattack. As staff reviewed the equipment, they had to ask several questions relating to how PHI is used: Does the equipment display, transmit, or store PHI? If it only displays PHI, then CESS would classify it as a “low-risk” system.

Equipment that stores or transmits PHI is considered higher risk, and requires more in-depth analysis.

“In that case, we have to ask questions like: ‘What do we have that contains patient records?’ ‘How much does it store?’ ‘Is the storage temporary or permanent?’ Busdicker said. “After that, we start taking a look at Manufacturer Disclosure Statement for Medical Device Security (MDS2) information and incorporate that into our scoring meth- odology. It’s this whole process that led us to develop an internal application.”

Early on, the CESS team ran into a road- block—some manufacturers were reluctant to provide documentation that detailed the

included MDS2, operator and service manu- als, system architecture, patching and upgrade policies, and PHI disposal method- ologies. All of that information must be collected and processed manually.

Intermountain staff were taken aback at this resistance, because they were seeking the information for their own defenses and to share with partner organizations.

“There’s a lot of concern from manufactur- ers that this is all proprietary information.

That by exposing these weaknesses to other parties, we would be increasing the potential security risks for these devices,” Anderson said. “It was a little surprising to me that that mentality still exists with some suppliers— that ‘as long as we keep our vulnerability as a secret, we’re all safe.’ That’s just not the cyberworld that we live in anymore.”

The relationship between a healthcare system and the medical equipment manufac- turers should be built on a foundation of trust, Upendra said, and having those technical details allows professionals in a hospital system to improve patient care.

Fortunately, the resistance to share informa- tion did not extend to all manufacturers, and a sustained effort led many to get on board with the project.

“Over the last few months, we have called, emailed, and met with our suppliers to

**About the Author**



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cybersecurity vulnerabilities inherent in their various medical equipment models. That

The leadership team for CESS at Intermountain Healthcare. Left to right: Priyanka Upendra, John Schafer, Mike Busdicker, Jeff Koford, and Dustin Smith.

**Intermountain Healthcare referenced the following reports for the project:**

* Food and Drug Administration (FDA). *Guidance for Industry, FDA Reviewers, and Compliance on Off-The-Shelf Software Use in Medical Devices*
* FDA. *Guidance for Industry - Cybersecurity for Networked Medical Devices Containing Off-the-Shelf (OTS) Software*
* FDA. *Infusion Pumps Total Product Life Cycle Guidance for Industry and FDA Staff*
* FDA. *Postmarket Management of Cybersecurity in Medical Devices Guidance for Industry and Food and Drug Administration Staff*
* AAMI TIR 57/Ed. 1, *Principles for medical device security— Risk management*
* IEC 80001-1:2010, *Application of risk management for*

*IT-networks incorporating medical devices—Part 1: Roles, responsibilities and activities*

• ISO/ IEC 29147:2014,

I*nformation technology— Security techniques— Vulnerability disclosure*

• ISO IEC 30111:2013,

*Information technology— Security techniques— Vulnerability handling processes*

* NIST 800-53 Rev 4, *Security Controls and Assessment Procedures for Federal Information Systems and Organizations*
* NIST Cybersecurity Framework

update them on Intermountain’s network security and CESS policies. We have had remarkable responses so far,” Upendra said.

Efforts are in progress to review medical equipment contracts to include data security elements and update the computerized maintenance management system (CMMS) with end-of-life and end-of-support notices from original equipment manufacturers.

# **Solution**

Intermountain staff faced the daunting task of identifying and evaluating cybersecurity risks for thousands of pieces of medical equipment. They needed a way to systemati- cally sift through the data and determine where to prioritize limited resources. In response to this challenge, two teams within Intermountain’s IT department (Cybersecurity Architecture and Application Development) worked with CESS to develop a computer application called Polestar.

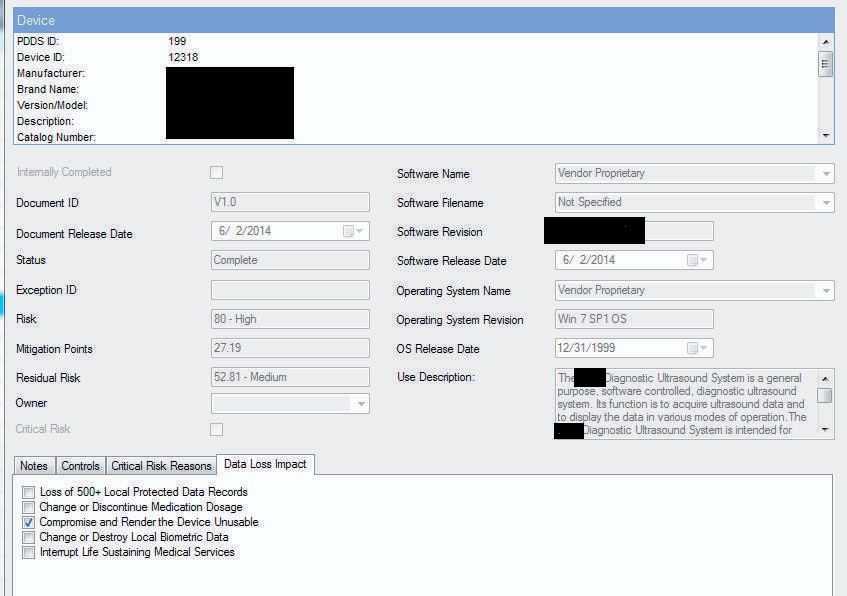
Polestar, which is still in development, is designed to assess and rank the equipment’s PHI data using predefined criteria. Staff must manually enter information expanded from the MDS2, supply chain, compliance, and other sources into the Polestar risk assessment. The program then automati-

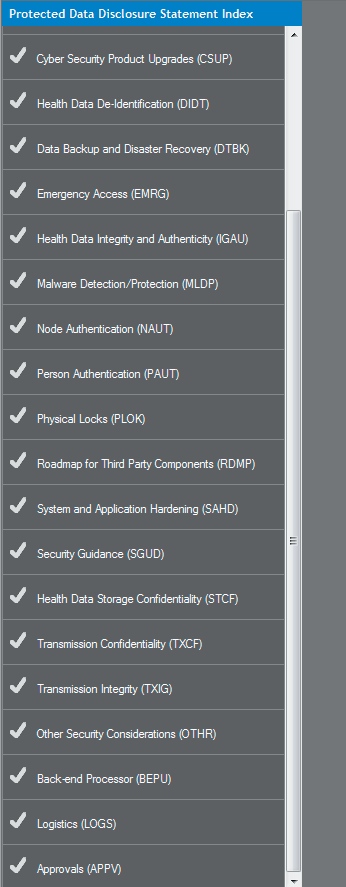


The cybersecurity architecture team at Intermountain Healthcare. Left to right: Brandon Helmer, Jessika Hunt, and Shawn Anderson

cally calculates a risk rating based on weighted factors, such as patient safety impact, likelihood of occurrence, and risk mitigation capability.

“The residual risk for each equipment model takes into account the risk and mitigation factor for each question in the assessment. There are efforts in progress to refine this algorithm to include a comprehen-

**Figure 1.** Device profile in Polestar



**Figure 2.** Protected data disclosure statement identification index in Polestar

sive set of questions from supply chain, compliance, and legal, and patient safety teams,” Upendra said.

Based on that rating, along with information on clinical workflows and the equipment’s technical capabilities, a risk mitigation strategy is designed and proposed with Inter- mountain’s clinical and technical experts (Figure 1).

“As you answer each question in the Polestar application, an algorithm computes a final score and classifies devices as high, medium, or low risk within the system,” Busdicker said. “We didn’t go out and reinvent the wheel on a lot of this. We weighted information from the MDS2 sheets supplied by the manufacturers. We also developed some of our own patient safety and risk questions.”

Some answers will immediately earn a “critical” classifica- tion in Polestar. A “critical” device would be one where there’s significant risk of patient harm associated with a cybersecurity compromise or if more than 500 patient records could be affected. For example, a cyberincident could alter drug delivery dosage rates or render the equipment unusable, causing harm or, in certain cases, death to a patient (Figure 2).

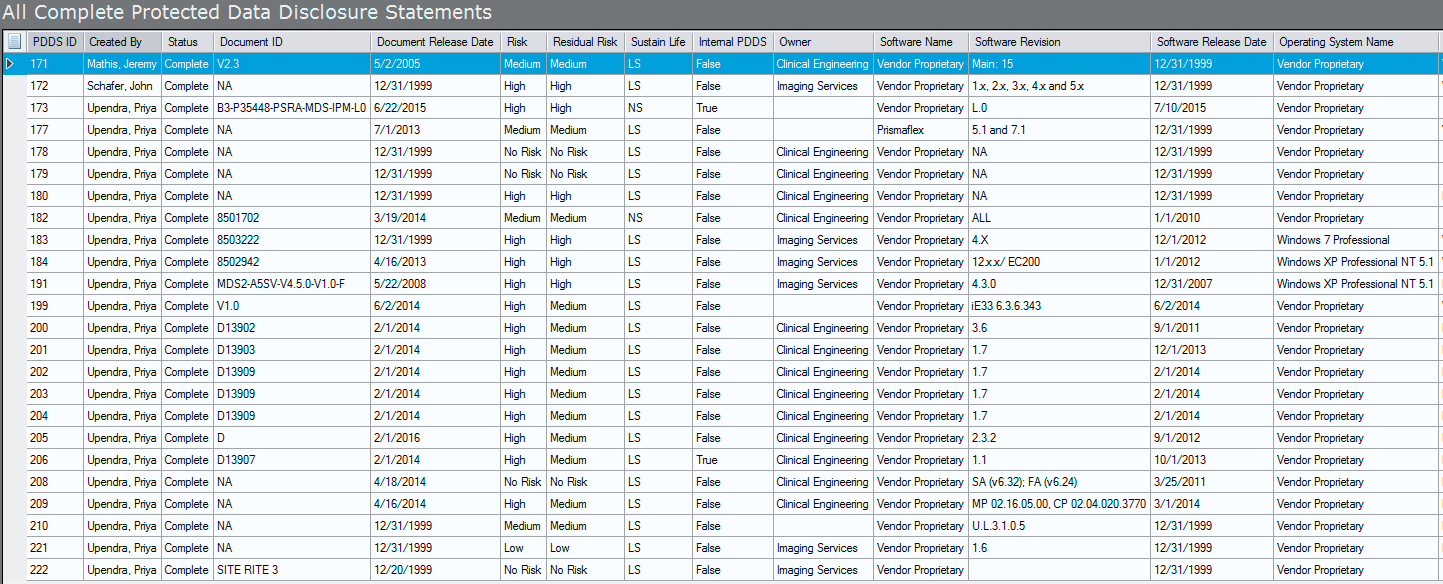
Critical risk factors outlined in the Polestar cybersecurity risk assessment are:

* Data stored or managed offshore.
* Equipment was identified as a cyberhack risk by verified sources.
* Loss of 500 or more local protected data records.
* Change or discontinue medication dosage.
* Compromise or render the device unusable.
* Change or destroy local biometric data.
* Interrupt life-sustaining medical services.

Polestar also interfaces with Intermountain’s CMMS, with information flowing in both directions. Medical equipment model information from the CMMS is fed into the program, and the protected data disclosure statement identification and residual risk rating are then sent back to the CMMS. Discus- sions are in progress to automate the identification of network-connected medical equipment and include their IT profile information in the CMMS, though Upendra said there are concerns about disrupting clinical workflow.

Overall, Polestar helps prioritize work to catch the lowest- hanging fruit as well as the most important cybersecurity items. If the program classifies a device as “low” or “medium” residual risk, then the Cybersecurity Architecture team’s direct involvement is bypassed, and a facility-specific CESS team will work with medical equipment manufacturers to mitigate risks. The risks associated with these categories are evaluated with clinical, legal, compliance, and other business groups to develop an effective mitigation plan.

“If it comes up as ‘high’ or a ‘critical,’ that’s when Cyberse- curity Architecture is re-engaged in the process. We might do additional development of security-compensating controls or some other types of isolation. Or maybe we make recommen-



**Figure 3.** Completed risk assessments in Polestar

# **The project poses several**

dations back to the clinical and business groups, to tell them that we shouldn’t use this particular equipment because it presents these types of risks to the organization,” Anderson said.

Polestar’s risk assessment is then followed up with a plan that brings all the stakehold- ers to the table. From that plan, the IT and CESS teams work along with clinicians and device manufacturers to develop a fix. That could include implementing new device

features, testing for encryption, or isolating the device on the

network—particularly legacy

* Identification of all network-connected equipment (i.e., medical equipment, clinical support systems, facility utility systems).
* Systematic cybersecurity risk assessment process.
* Information sharing across all Intermoun- tain facilities (clinical and business groups).
* Information gathering and IT profiling.
* Bidirectional communication with Inter- mountain’s CMMS.

The Intermountain team is also engaging in training programs to drive home the importance of cybersecurity for clinicians

# **potential benefits, including**

**information sharing across all Intermountain facilities (clinical and business groups) and bidirectional communication with Intermountain’s CMMS.**

devices that are too old to receive an upgrade (Figure 3).

“On the cybersecurity side, we focused really heavily on the network isolation component, especially since for a lot of the devices, we can’t do anything with them from security mitiga-

and the executive leadership, who may not be fully aware of the risks. Medical equipment cybersecurity is a critical part of Intermoun- tain’s 2017 compliance work plan, Upendra said. Over the next year, Intermountain will perform a mandatory cybersecurity review when evaluating and procuring medical devices. The CESS team plans to gather

tion standpoint,” Anderson said. “If we can develop the proper network isolation, the ability for it to affect the rest of the network or other devices on the network is limited.”

# **Next Steps**

The integration of Polestar at Intermountain is still ongoing, but the project poses several potential benefits for CESS and the IDN as a whole, including:

information and perform cybersecurity risk assessments for a quarter of Intermountain’s high-risk medical equipment (about 860 unique models), sanitize PHI and other sensitive information when disposing of medical equipment, and create mandatory computer-based training modules to make employees aware of cybersecurity risks.

“Over the next 2 years, CESS will work actively with patient safety committees,

compliance, legal, clinical, and technical experts across Intermountain Healthcare facilities to build a strong foundation to the medical equipment cybersecurity program,” Upendra said. “This will include the cybersecurity piece in our staff education programs and through- out the life cycle of medical equipment at a healthcare delivery organization.”

The hope is that the processes and infor- mation gained can be shared throughout the industry, Upendra said. The Intermountain team demonstrated Polestar for the first time at the National Health Information Sharing and Analysis Center fall summit in San Diego, CA, in November 2016, where the program drew interest from manufacturers and other healthcare organizations.

“It’s up to us to get out there and educate across the industry on what the risk is when it comes to cybersecurity and patient safety. This is a huge educational opportunity,” Busdicker said.

The team is working with the Medical Device Innovation, Safety and Security Consortium, Medical Device Security Information Sharing Council, Provider Security Information Council, and experts at the Food and Drug Administration, and AAMI to develop medical equipment security programs, share information, and develop a framework that other healthcare delivery organizations can draw from.

“Our ultimate goal is to help drive pro- cesses and workflows around medical equipment cybersecurity that mitigate risk across the healthcare industry as a whole,” Upendra said. “This approach has helped us be more proactive than reactive, for us to understand the cyberrisks within this ecosystem and also to manage them.” n

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